



GP/1764

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
Brian Jay Doerksen :
Serial No.: 09/872,390 : Group Art Unit: 1764
Filed: June 1, 2001 : Examiner:
For: ALTERNATE COKE FURNACE : Docket No.: ICR 97/026
TUBE ARRANGEMENT : Date: October 24, 2001

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

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TC 1700

Sir:

1. Time of Transmittal of Accompanying Information Disclosure Statement

The Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application *and before* the mailing date of the first Office Action on the merits, whichever event occurred last *and before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

2. Certification, Petition and Fee

Accompanying this transmittal is:

- ☒ A. a certification as specified in 37 CFR §1.97(e).
☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c). (\$_____).

3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$_____).

Fee due: \$_____.

4. Method of Payment of Fee

☐ Attached is a check for \$_____.

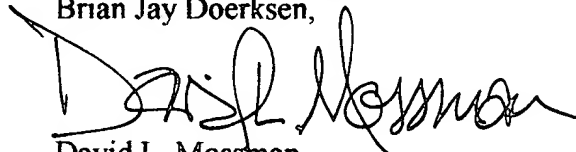
☐ Please charge Account _____ \$_____. This petition is submitted in duplicate.

If any additional petition fees are due for this item only, please charge Account _____.

5. Miscellaneous

☐ In an abundance of caution, a copy of the PCT International Search Report that accompanied the additional documents is enclosed.

Respectfully submitted,
Brian Jay Doerksen,



David L. Mossman
Registration No. 29,570
Attorney for Applicant
Telephone No. 915/392-8015

Madan, Mossman & Sriram, P.C.
2603 Augusta, Suite 700
Houston, Texas 77057-5638

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231, on

OCTOBER 24, 2001

Date of Deposit

 10.24.2001

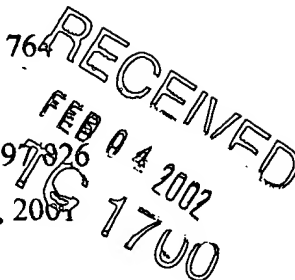
David L. Mossman

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
Brian Jay Doerksen :
Serial No.: 09/872,390 : Group Art Unit: 1764
Filed: June 1, 2001 : Examiner:
For: ALTERNATE COKE FURNACE : Docket No.: ICR 97826
TUBE ARRANGEMENT : Date: October 24, 2001



CERTIFICATION FOR SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Commissioner for Patents
Box Non-Fee Amendment
Washington, D.C. 20231

Sir:

1. Identification of Information Disclosure Statement for which this Certification is Being Made

This certification is being made for the Supplemental Information Disclosure Statement

☒ accompanying this certification;
☐ filed: _____

2. Certification

I, the person signing below, certify:

- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. 37 CFR §1.97(e)(1). OR
- ☒ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. 37 CFR §1.97(e)(2), or that no item of information contained in the Information Disclosure Statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2). Please note that the patent cited was only issued exactly three months ago.

3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

Signature

Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

Signature

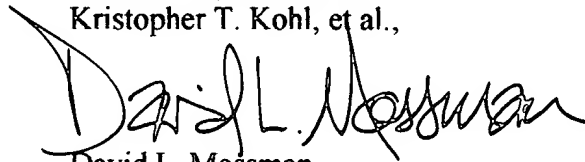
Typed or printed name

Address

Relationship

- ☒ The attorney who signs below on the basis of:
- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | the information supplied by the inventor. |
| <input type="checkbox"/> | the information supplied by an individual designated in §1.56(c). |
| <input type="checkbox"/> | the information in the attorney's file. |
| <input type="checkbox"/> | information found by the attorney while conducting research on another matter. |

Respectfully submitted,
Kristopher T. Kohl, et al.,

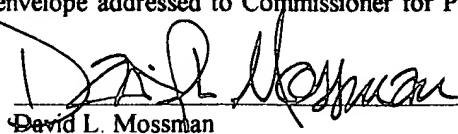


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